



PATENT  
Docket No. 205032001200

CERTIFICATE OF MAILING BY "FIRST CLASS"

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Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on October 20, 2003.

*Judy Bridgewater*  
Judy Bridgewater

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Ta-Shuong YEH et al.

Serial No.: 09/847,017

Filing Date: May 1, 2001

For: A PROCESS FOR THE PREPARATION  
OF DIRECT TABLETTING  
FORMULATION AND AIDS

Examiner: Todd Ware

Group Art Unit: 1615

SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

The documents listed on the attached Form PTO-1449 were cited in a European Search Report issued on December 9, 2002 (copy attached) directed to a counterpart international or foreign application.

10/27/2003 LHMNDIM1 00000056 031952 09847017

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This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114.
- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☒ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \_\_\_ is enclosed.
  - ☒ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of \_\_\_ is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 205032001200. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: October 20, 2003

Respectfully submitted,

By: 

Bruce D. Grant

Reg. No. 47,608

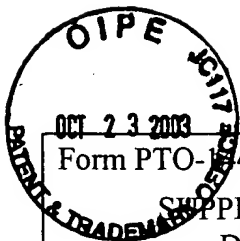
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Form PTO-1449SUPPLEMENTAL INFORMATION  
DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 205032001200

Application Number 09/847,017

Applicant

Ta-Shuong YEH et al.

Filing Date May 1, 2001

Group Art Unit 1615

Mailing Date October 20, 2003

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## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	02/1978	4,072,535	Short et al.	106	210	
	2.	01/1989	4,800,086	Buehler et al.	424	497	
	3.	07/1988	4,757,090	Salpekar et al.	514	613	
	4.	09/1997	5,667,807	Hürner et al.	424	489	
	5.	07/2000	6,083,430	Fuisz et al.	264	5	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	6.	Shekunov et al., J. of Crystal Growth, 211(1-4):122-130 (2000)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.